



RNS Number : 8571P  
Roquefort Therapeutics PLC  
22 June 2022

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**Roquefort Therapeutics plc**  
("Roquefort Therapeutics" or the "Company")

**Issue of Warrants**

Roquefort Therapeutics (LSE:ROQ), the Main Market listed biotech company focused on early-stage opportunities in the biotechnology sector, announces the following issue of warrants to recently appointed Non-Executive Directors and an advisor to the Board:

| <b>Name</b>                    | <b>Position</b>        | <b>Number of Warrants Issued</b> | <b>Total Number of Warrants Held After Issue</b> |
|--------------------------------|------------------------|----------------------------------|--|
| Ms Jean Duvall                 | Non-Executive Director | 300,000                          | 300,000  |
| Dr Simon Sinclair <sup>1</sup> | Non-Executive Director | 300,000                          | 300,000  |
| Prof. Trevor Jones             | Board Advisor          | 300,000                          | 300,000  |

<sup>1</sup>Warrants held in the name of Livingstone Investment Holdings Ltd, a company in which Dr Sinclair has a beneficial interest

The warrants have been issued in relation to the appointment of the abovenamed earlier this year and form part of their annual remuneration package.

The warrants have a term of 5 years and are exercisable at a price of 15 pence, with 50% exercisable after 12 months and the balance exercise after 24 months.

**Enquiries:**

**Roquefort Therapeutics plc**

Stephen West (Chairman) +44 (0)20 3290 9339

**Buchanan (Public Relations)**

Ben Romney / Jamie Hooper / George Beale +44 (0)20 7466 5000

**Optiva Securities Limited (Broker)**

Christian Dennis

+44 (0)20 3411  
1881

For further information on Roquefort Therapeutics, please visit [www.roquefortplc.com](http://www.roquefortplc.com) and @RoquefortTherap on Twitter.

LEI: 254900P4SISIWOR9RH34

### **About Roquefort Therapeutics**

Roquefort Therapeutics (LSE:ROQ) is a Main Market listed biotech company developing products through the pre-clinical phase prior to partnering or selling to big pharma. The Company is a pre-clinical biotech company focused on developing first in class Midkine targeting drugs for the treatment of cancer.

Through extensive research resulting in validation through publication in over 1,000 scientific journals, Roquefort Therapeutics has identified the potential to exploit the broad therapeutic potential of Midkine for a number of clinical indications of unmet needs. Roquefort Therapeutics holds an exclusive licence to the largest global IP portfolio on Midkine. The Midkine blocking drug development markets have significant global market potential (in the multi-billion dollars). Roquefort Therapeutic's pre-clinical program is currently underway with an initial focus on cancer.

*This announcement contains inside information for the purposes of Article 7 of the UK version of Market Regulation (EU) No 596/2014 on Market Abuse as it forms part of UK domestic law by virtue of the European Union (Withdrawal) Act 2018, as amended ("UK MAR").*

**The notifications below, made in accordance with the requirements of the UK MAR, provides further detail:**

NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM

a) Name Jean Duvall

**2 Reason for the notification**

a) Position/status Non-Executive Director

b) Initial notification /Amendment Initial

**3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

a) Name Roquefort Therapeutics plc

b) LEI 254900P4SISIWOR9RH34

**4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted**

|  |                                       |           |
|--|---------------------------------------|-----------|
| a) Description of the financial instrument, type of instrument | Warrants (exercise price of 15 pence) |           |
| Identification code  | ISIN: GB00BMDQ2T15                    |           |
| b) Nature of the transaction                                   | Issue of warrants                     |           |
| c) Price(s) and volume(s)                                      | Price(s)                              | Volume(s) |
|  | 15 pence per share                    | 300,000   |
| d) Aggregated information                                      |                                       |           |
| - Aggregated volume  | -                                     | 300,000   |
| - Price  | -                                     | 15p       |
| e) Date of the transactions                                    | 22 June 2022                          |           |
| f) Place of the transactions                                   | Outside a trading venue               |           |

## NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM

**1 Details of the person discharging managerial responsibilities / person closely associated**

a) Name Simon Sinclair

**2 Reason for the notification**

a) Position/status Non-Executive Director

b) Initial notification /Amendment Initial

**3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

a) Name Roquefort Therapeutics plc

b) LEI 254900P4SISIWOR9RH34

**4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted**

|  |                                       |           |
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| a) Description of the financial instrument, type of instrument | Warrants (exercise price of 15 pence) |           |
| Identification code  | ISIN: GB00BMDQ2T15                    |           |
| b) Nature of the transaction                                   | Issue of warrants                     |           |
| c) Price(s) and volume(s)                                      | Price(s)                              | Volume(s) |
|  | 15 pence per share                    | 300,000   |
| d) Aggregated information                                      |                                       |           |
| - Aggregated volume  | -                                     | 300,000   |
| - Price  | -                                     | 15p       |
| e) Date of the transactions                                    | 22 June 2022                          |           |
| f) Place of the transactions                                   | Outside a trading venue               |           |

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Anonymous (not verified)

Issue of Warrants

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Company Announcement - General

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